

1 BLAIR C. PEREZ
2 Attorney for the United States
3 Acting Under Authority Conferred by 28 U.S.C. § 515
4 PHILIP L.B. HALPERN, CASB 133370
5 MARK W. PLETCHER, Colorado State Bar No. 034615
6 HELEN H. HONG, CASB 235635
7 BILLY JOE MCLAIN, CASB 290682
8 Assistant U.S. Attorneys
9 880 Front Street, Room 6293
10 San Diego, CA 92101
11 Tel: (619) 546-6990
12 Email: helen.hong@usdoj.gov

13 Attorneys for the United States

14 UNITED STATES DISTRICT COURT

15 SOUTHERN DISTRICT OF CALIFORNIA

16 UNITED STATES OF AMERICA

17 v.

18 JOSE SUSUMO AZANO MATSURA (1),
19 aka Mr. A.,
20 aka Mr. Lambo,
21 RAVNEET SINGH (2),
22 aka Ravi Singh,
23 MARCO POLO CORTES (4),
EDWARD SUSUMO AZANO HESTER (5),
aka Susu,
aka Junior,

Defendant.

Case No.: 14-CR-0388-MMA

**UNITED STATES'S OPPOSITION TO
SINGH'S MOTION FOR A NEW TRIAL
UNDER RULE 33 [632-1]**

Singh's motion for a new trial should be denied.

This Court may "vacate any judgment and grant a new trial if the interest of justice so requires." Fed. R. Crim. P. 33(a). The "interests of justice" require a new trial if a "serious miscarriage of justice may have occurred." *United States v. Alston*, 974 F.2d 1206, 1211 (9th Cir. 1992). None occurred here. Singh's motion should be denied.

I. The United States Complied With Its Brady Obligations Regarding Clancy

"To reverse a conviction for a discovery violation, . . . the error [must have] resulted in prejudice to [the defendant's] substantial rights." *United States v. de Cruz*, 82 F.3d 856, 866 (9th Cir. 1996). "The prejudice that must be shown to justify reversal for a discovery violation is a likelihood that the verdict would have been different had

1 the government complied with the discovery rules[.]” *United States v. Mendoza*, 244
 2 F.3d 1037, 1047 (9th Cir. 2001). A new trial is appropriate for a Rule 16 violation only
 3 if other available remedies are inadequate to provide a fair trial. *United States v. Miller*,
 4 199 F.3d 416, 420 (7th Cir. 1999).

5 For the reasons identified in the United States’s opposition to Singh’s Motion to
 6 Dismiss [633-1], Singh’s motion for a new trial should be denied. The United States
 7 fully complied with its discovery obligations and previously disclosed that Clancy had
 8 been told by Singh that Azano was paying for his services. Singh can claim no pre-trial
 9 investigation or trial surprise, since discovery established that Singh had disclosed that
 10 Azano was paying for the social media work he did for Filner’s campaign.

11 **II. The Court Did Not Abuse Its Discretion By Playing Only Admitted Evidence 12 for Jurors**

13 Singh seeks a new trial because transcripts—which were never admitted in
 14 evidence—were not supplied to the jury. Mot. [632-1] at 3-6; see also RT 815/16
 15 (testimony of Clancy) at 9:13-16 (prosecutor describing how “each video will have a
 16 streaming transcript which is meant as a demonstrative exhibit”). Because he cannot
 17 show a serious miscarriage of justice, his motion on this ground should be denied.

18 As an initial matter, no jury is *entitled* to have even properly admitted video
 19 exhibits in a jury room. The decision to send exhibits back with a jury lies within the
 20 broad discretion of the district court. See, e.g., *United States v. DeCoito*, 764 F.2d 690,
 21 695 (9th Cir. 1985); *United States v. Chadwell*, 798 F.3d 910 (9th Cir. 2015). The same
 22 is true of transcripts of recordings played in open court. *United States v. Taghipour*, 964
 23 F.2d 908, 910 (9th Cir. 1992); *United States v. Turner*, 528 F.2d 143, 168 (9th Cir. 1975).
 24 But while a district court may be permitted to allow a jury to review recordings or
 25 transcripts, it is not required to do so. That alone disposes of Singh’s challenge.

26 In any event, the jurors did have an opportunity to review what was properly
 27 admitted in this case: the videos. See, e.g., Supplemental Response to Jury Note #3
 28 [469-7] (“Respectfully, there are no written transcripts in evidence of the four videos.

If you wish to watch the four videos, we can reply them for you in open court.”). The jurors heard Singh’s requested excerpt in the government’s case in chief, GEX AA-4, RT 8/15/16 at 15:2-21, which included the rolling transcript. They then heard about it during Singh’s closing. And they watched it multiple times when they requested an opportunity to view the videos during deliberations.

Even if that were not the case, the inference Singh sought the jury to make from the transcript of the video was dwarfed by contradictory evidence introduced at trial. Singh asserts that the clip became the “lynchpin in Mr. Singh’s defense that he was not paid by Mr. Azano but was rather donating his services to Dumanis and Filner as an unpaid favor to one of his clients.” Mot. [632-1] at 3. But as Singh readily admits, that defense was “directly contradicted” by the testimony of Ed Clancy. Mot. [633-1] at 7; RT 8/11/16 (Testimony of Clancy) at 31:2-7. Indeed, Singh has called Clancy’s testimony so devastating that he has requested the drastic remedy of dismissal of the indictment, *id.*, as well as a new trial. Mot. [632-1] at 3.

Beyond Clancy’s testimony, the jury heard Singh’s own words:

SINGH: Okay, good. And...and you...you're thinking...you're thinking A to Z or just sort of like an overall digital strategy and then basically he...he would just be a sponsor, right? We run...we run it through a separate PAC or whatever kinda deal and...and keep 'em isolated, right? But he could make a direct payment to us, correct?
 ENCINAS: Yeah, he...he'll make a direct payment to you. He doesn't wanna do an I.E., he doesn't wanna do anything. He wants me to keep himself secret, you know?

1 04 He wants to...
 2 05 SINGH: ...okay, got it...
 3 06 ENCINAS: ...he'll pay you direct just like,
 4 07 you know, Mister A did with you.
 5 08 At least that's how he...
 6 09 SINGH: ...okay...
 7 10 ENCINAS: ...at least that's how he wants to do
 8 11 it...
 9 12 SINGH: ...okay. Perfect. Sounds good.

7 GEX AA-5. In short, Singh himself undermined the meaning he asked the jury to draw
 8 from the video transcript.

9 Singh's admissions aligned the testimony of others—Meghan Standefer testified,
 10 for example, that Singh told her that “there was a lot of money involved” for his work
 11 on the Filner campaign. RT 8/8/16 (testimony of Meghan Standefer) at 10:13-17. At
 12 some point, Singh told her that the Filner project was valued at “like 60,000 or six
 13 figures or something like that.” *Id.* at 11:8-10. His admission also aligned with the
 14 financial records that established Azano’s payments through Broadlink for Singh’s
 15 work on Dumanis’s and Filner’s campaigns. See, e.g., GEX 50-4 (Azano writing in an
 16 email “No more money, that wasn’t the agmt with Ravi we will only transfer what I
 17 told him” in response to an invoice for the “betty boo project for 1001 it was originally
 18 75 but Mr Singh explained the need for the additional 25 during his last visit to San
 19 Diego and Mr A verbally agreed”); 26-14 (email from Singh to Ronsheim, asking for
 20 proposal for Filner campaign totaling 105k); 32-4 (invoice for \$97,000); 26-16
 21 (resulting Plastic Man proposal created after deposit of funds); 32-13P (invoice for
 22 \$95,000); 32-6 (email asking for invoice for “95k”); 32-5 (E Solutions bank records
 23 reflecting wire transfers from Azano’s Broadlink).

24 Indeed, the interpretation of the clip that Singh offers was undermined by the
 25 witness testifying about the clip on the stand. Singh contends that the significant portion
 26 of the video was the statement by Encinas that Singh was “just donating” his services:
 27

1	25:25	CLANCY:	...I mean how's he get paid?
2	26: Page 26	ENCINAS:	Who's that?
3	26: 01	CLANCY:	Ravi.
4	26: 02	ENCINAS:	By some other (UI). He gets money
5	26: 03		from some other people. (LAUGHS).
6	26: 04	CLANCY:	Oh yeah?
7	26: 05	ENCINAS:	Yeah. (UI)...(LOUD MUSIC).
8	26: 06	CLANCY:	The same as the wall, you know,
9	26: 07		like...
10	26: 08	ENCINAS:	...yeah...
11	26: 09	CLANCY:	...doin' the walls and...
12	26:10	ENCINAS:	...it's between (UI) and
13	26:11		Mister A...(LOUD MUSIC).
14	26:12	CLANCY:	Oh yeah?
15	26:13	ENCINAS:	(UI). (LOUD MUSIC).
16	26:14	CLANCY:	I mean we have to be careful with
17	26:15		that.
18	26:16	ENCINAS:	Yeah, I know. I know. But let's put
19	26:17		it this way. He owed, uh, Mister A
20	26:18	ENCINAS:	owed him money for other stuff.
21	26:19		So he was just donating. (LAUGHS).
22	26:20		

10 But even Clancy explained that the ensuing laughter conveyed that it was not true. RT
 11 8/15/16 at 16:11-17.

12 This Court properly exercised its discretion by declining Singh's request to show
 13 the requested video clip with the rolling transcript again—calling attention to it—when
 14 the jury had heard the evidence and reviewed the video multiple times. This is
 15 particularly so here, in light of the overwhelming evidence undermining Singh's "I just
 16 donated my time" defense. *Alston*, 974 F.2d at 1211(new trial warranted only where
 17 "evidence preponderates sufficiently heavily against the verdict that a serious
 18 miscarriage of justice may have occurred").

19 **III. The Court Did Not Abuse Its Discretion By Denying the Missing Witness
 20 Instruction**

21 The Court appropriately declined to instruct the jury with the "Missing Witness"
 22 instruction. Ernie Encinas was neither missing nor unavailable. That is dispositive.

23 During trial, the United States helped coordinate contact between Azano's
 24 attorney and Encinas's attorney about serving a subpoena to secure Encinas's presence
 25 at trial. Encinas was subject to any defendant's subpoena power and available in San
 26 Diego to testify. Singh does not even suggest that he secured a trial subpoena for
 27 Encinas, or attempted to serve one on him. He has therefore waived any arguments
 28 about Encinas's opportunity.

Singh makes clear that his complaint is that Encinas was unwilling to meet pre-trial for witness preparation sessions. But Singh cites no authority—because there is none—that an unwillingness to chat *pre-trial* renders a witness unavailable or missing at trial, when the witness is otherwise available to testify. And Singh all but admits that he did *not* want Encinas to testify at trial or to subpoena him because Encinas had declined invitations for pre-trial interviews. Mot. [632-1] at 8 (“As a practical matter, it would have been impossible (and potentially even malpractice) for Mr. Singh’s counsel to call Ernie Encinas to the witness stand with no preparation whatsoever.”). Singh’s attorneys concern about malpractice, however, does not mean Encinas was unavailable at trial.

Singh turns the standard for gauging the propriety of a witness missing instruction on its head. Singh states that “Encinas was peculiarly *unavailable* to Mr. Singh[.]” *Id.* at 9. Not so. But even if that were the case, the witness instruction requires more. Encinas must be “peculiarly *within* the power of the other party” and actually missing or unavailable. *United States v. Ramirez*, 714 F.3d 1134, 1137 (9th Cir.2013). Neither was the case.

Singh must also establish that “under the circumstances, an inference of unfavorable testimony from an absent witness is a natural and reasonable one.” *Id.* That is not the case here. As the United States has repeatedly assured the Court and defense counsel, no pre-trial preparation sessions resulted in discoverable *Brady*, *Jencks*, *Giglio*, or Rule 16 material that was not disclosed. Accordingly, the parties are left with Encinas’s sworn plea agreement (attached as Exhibit 1 to the United States’s opposition to the motion for discovery [641-1]), which demonstrates that his testimony would have been unfavorable *to the defense*:

- 1 3. Between approximately 2011 and 2013, there was an agreement
 2 between Defendant, Azano, Ravneet Singh (charged elsewhere),
 3 Marco Polo Cortes (charged elsewhere), a person hereinafter
 4 referred to as "the Straw Donor," and others, to:
 5 a. knowingly and willfully make foreign national
 contributions aggregating \$25,000 and more during a
 calendar year;
 6 b. knowingly and willfully make foreign national
 expenditures aggregating \$25,000 and more during a
 calendar year;
 7 c. knowingly and willfully make contributions to a
 candidate for federal office in the names of other
 persons, aggregating \$25,000 and more during a calendar
 year; and
 8 d. knowingly falsify a record with the intent to impede,
 obstruct and influence the investigation and proper
 administration of a matter within the jurisdiction of
 9 the Federal Bureau of Investigation.

10 Regardless, Singh and his co-defendants made the "missing witness" point in
 11 closing arguments. They repeatedly argued that the jurors should draw a negative
 12 inference from Encinas's omission from the witness list. As Singh's attorney repeatedly
 13 claimed, Encinas's testimony was a "missing report" hidden from the "cardiologist."
 14 "The court has the discretion to give a missing witness instruction or to leave the matter
 15 to the argument of counsel." See *United States v. Kojayan*, 8 F.3d 1315, 1317 n.2, 1320-
 16 21 (9th Cir.1993); Manual of Model Criminal Jury Instructions for the Ninth Circuit,
 17 No. 4.13. Leaving the matter to the argument of counsel here—given these facts—fell
 18 well within this Court's proper exercise of discretion. Singh's motion for a new trial
 19 should be denied.
 20

IV. The Court Properly Denied the Motion to Sever

Singh was not deprived of a fair trial by sitting with his co-conspirators. The
 United States incorporates its response in opposition to Singh's pre-trial motion to
 sever. Opp. [225]. This Court should deny the motion for a new trial on the same

1 grounds.¹ If anything, the propriety of denying severance is even more clear post-trial
 2 given the jury's evident ability to weigh the evidence against each defendant
 3 individually. See, e.g., *Mistrial for Cortes*. In any event, the evidence that Singh claims
 4 was unfairly prejudicial was all part of the conspiracy with which he was charged, and
 5 would have been introduced in a trial against him alone. Mot. [632] at 9-10.
 6 *United States v. Fernandez*, 388 F.3d 1199, 1242 (9th Cir. 2004); *see also United States*
 7 *v. Crespo de Llano*, 838 F.2d 1006, 1020 (9th Cir. 1987) (affirming denial of
 8 defendant's severance motion where the "evidence introduced at trial would have been
 9 admissible against him in a separate trial to prove the conspiracy charge").

10 V. Singh Had the Opportunity to Impeach Encinas's Testimony

11 Singh claims that he was improperly denied an opportunity to conduct a "full and
 12 complete cross-examination of Agent Meisel." Mot. [632-1] at 12. Not so. First, the
 13 parties reached a stipulation that Singh agreed would streamline his cross-examination
 14 of Meisel. See RT 8/23/16 [422] (testimony of Meisel) at 13:20-14:2. Second, he was
 15 subject to recall by any defense witness for questions that exceeded the scope of Agent
 16 Meisel's direct examination. *Id.* at 15:15-20. Although Azano recalled Meisel, Singh
 17 did not. See Witness List [482]. No serious miscarriage of justice occurred.

18 VI. The Rebuttal Closing Argument Was Proper

19 The rebuttal closing remarks offer no ground for a new trial.

20
 21
 22 ¹ Singh appears to have waived severance as a grounds for relief, since the United
 23 States cannot recall Singh renewing his request at the close of evidence. See *United*
24 States v. Alvarez, 358 F.3d 1194, 1206 (9th Cir. 2004) ("It is well-settled that the motion
 25 to sever must be renewed at the close of evidence or it is waived."); *United States v.*
26 Decoud, 456 F.3d 996, 1008 (9th Cir. 2006) ("The reason for requiring a defendant to
 27 renew his severance motion is to enable the trial court to assess more accurately whether
 28 a joinder is prejudicial at a time when the evidence is fully developed."); *United States v. Free*, 841 F.2d 321, 324 (9th Cir. 1988) ("a defendant could deliberately fail to make
 a meritorious motion and wait to see what verdict the jury returns . . . Such a strategy
 runs contrary to the very purpose underlying joinder.").

Singh first contends that the United States improperly shifted the burden of proof by suggesting that the defense attorneys could have subpoenaed Encinas to testify. That was not improper. The prosecutor permissibly identified the defendants' ability to call Encinas as a witness in response to their closing arguments that the United States hid exculpatory evidence by declining to call Encinas as a witness. As the Ninth Circuit recently observed:

The prosecutor's reference to the defense's subpoena power, made during closing argument in response to defense counsel's comments about the government's failure to call certain witnesses, was not improper. See *United States v. Cabrera*, 201 F.3d 1243, 1250 (9th Cir. 2000) (holding that "a prosecutor's comment on a defendant's failure to call a witness does not shift the burden of proof ... so long as the prosecutor does not violate the defendant's Fifth Amendment rights by commenting on the defendant's failure to testify."). Further, both the prosecutor and defense counsel repeatedly reminded the jury that the government had the burden of proof. Under these facts, we find that no improper burden-shifting occurred. See *United States v. Tucker*, 641 F.3d 1110, 1122 (9th Cir. 2011); see also *United States v. Semikian*, 307 Fed. Appx. 107, 109 (9th Cir. 2009); *United States v. Resendez-Ceballos*, 255 Fed. Appx. 110, 111 (9th Cir. 2007).

United States v. Lan Thi Tran Nguyen, 502 F. App'x 678, 681 (9th Cir. 2012) (unpublished). So here. Further, the United States reminded the jury that the United States bears the burden of proof no fewer than three times in the challenged rebuttal argument alone. RT 8/31/16 (rebuttal closing) at 8:14-16 ("The burden to prove this case beyond a reasonable doubt stays always with the United States. Always. That burden never shifts."); 18:23-24 ("The burden to prove the offenses stays with the United States throughout."); 23:17-20 ("Nothing I say or any of these defense attorneys say is evidence at all and the burden throughout this stage with the United States.").²

² In any event, the jury's split verdict made clear that the challenged remarks did not affect the outcome at all. See, e.g., *United States v. Koon*, 34 F.3d 1416, 1446 (9th Cir. 1994) (holding that a verdict acquitting the defendant of some of the charges against her "is indicative of the jury's ability to weigh the evidence without prejudice"); *United States v. de Cruz*, 82 F.3d 856, 861 (9th Cir. 1996) (same); *United States v. Manibusan*,

Finally, Singh challenges the prosecutor's rebuttal remarks about Singh's search engine optimization work for Azano. He contends that the United States "misstated the trial evidence by arguing for the first time in rebuttal that Mr. Singh was aware of Mr. Azano's immigration status because he had done internet background research on Mr. Azano and his company as part of a due diligence investigation." Mot. [632-1] at 12. But those were the facts. As Singh's Exhibit 2429 reflects, Singh was intimately familiar with Azano's online presence:



That "due diligence" included links to articles identifying Azano as a "Mexican businessman" and a "mexican-japanese business tycoon."

529 F. App'x 818, 820-21 (9th Cir. 2013) (unpublished) ("[T]he fact that Manibusan was acquitted of several charges indicates that 'the prosecutor's remarks did not undermine the jury's ability to view the evidence independently and fairly.'").

1		susumo azano
2	Search	About 3,220 results (0.16 seconds)
3	Web	Related searches: susumo_azano.lk susumo_azano.net susumo
4	Images	New Dumeris superPAC tied to Mexican businessman  May 23, 2012 — The company does not have a website, but public records indicate it is an aviation firm controlled by Susumo Azano , a Mexican businessman ...
5	Blogs	
6	Videos	Images for susumo_azano - Report Images     
7	News	
8	Shopping	
9	More	
10	San Diego, CA Change location	I think we may need to give BC a Bugatti Intervention - Page 9  www.hooniplay.com • Forum • Automotive • Supercars 10 posts • 9 authors • Jan 6 I just googled him, looks like Mr. GUSU is the son of a mexican-japanese business tycoon. Susumo Azano, heres a story i found ...
	Show search tools	

The prosecutor never contended that Singh had searched on some official government website to learn that Azano was a foreign national. But the prosecutor instead asked the jury to draw the reasonable inference that a business partner—hired to work on Mexican political campaigns, working on Project Snake and Castle, asked to conduct searching inquiries of the client on the internet, which identified the man as a “Mexican businessman—would know. That was a proper inference that the jury could be asked to draw in light of the evidence. It is “the very essence of jury summation” to “invite the jury to infer things from the evidence.” *United States v. Kojayan*, 8 F.3d 1315, 1321 (9th Cir. 1993); *id.* (“We fail to see how any of this is even remotely improper. Lawyers are supposed to invite the jury to infer things from the evidence. When a prosecutor asks jurors to deduce a defendant’s guilt from circumstantial evidence, for example, he’s urging them to take a leap beyond the record, to use their common sense in reaching a conclusion not explicitly spelled out by the evidence. This is the very essence of jury summation.”).

Singh suggests that it was somehow improper for the United States to address the SEO project in rebuttal. Not so. Given Singh's closing argument, claiming that Singh did not know Azano was a foreign national, the prosecutor's remarks were entirely fair. *United States v. Bagley*, 772 F.2d 482, 494 (9th Cir. 1985) ("A prosecutor may properly reply to the arguments made by defense counsel[.]"); *United States v. Taylor*, 728 F.2d 930, 935 (7th Cir. 1984) ("[P]rosecutors are entitled to respond to arguments articulated by defense counsel.").

VII. There Are No Encinas Records That Have Been Withheld

For the reasons identified in the opposition to the motion for discovery [641-1] and because no discovery has been withheld, the motion for a new trial on this ground should be denied.

* * *

Singh received a fair trial. Because the "interests of justice" would not be well-served by a new trial, the motion [632-1] should be denied.

DATED: May 5, 2017

Respectfully submitted,

BLAIR C. PEREZ
Attorney for the United States
Acting Under 28 U.S.C. § 515

/s/ Helen H. Hong
PHILIP L.B. HALPERN
MARK W. PLETCHER
HELEN H. HONG
BILLY JOE MCLAIN
Assistant U.S. Attorneys

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF CALIFORNIA

UNITED STATES OF AMERICA } Case No. 14CR1388-MMA
v. } CERTIFICATE OF SERVICE
JOSE SUSUMO AZANO MATSURA (1), }
aka Mr. A., }
aka Mr. Lambo, }
RAVNEET SINGH (2), }
aka Ravi Singh, }
MARCO POLO CORTES (4), }
EDWARD SUSUMO AZANO HESTER (5), }
aka Susu, }
aka Junior, }

IT IS HEREBY CERTIFIED THAT:

I, Helen H. Hong, am a citizen of the United States and am at least eighteen years of age. My business address is 880 Front Street, Room 6293, San Diego, California 92101-8893.

I have caused service of the **Opposition to Singh's Motion for a New Trial [632-1]** on the parties by electronically filing the foregoing with the Clerk of the U.S. District Court using its ECF System, which electronically notifies them

I declare under penalty of perjury that the foregoing is true and correct.

EXECUTED on May 5, 2017.

BLAIR C. PEREZ
Attorney for the United States
Acting Under 28 U.S.C. § 515

/s/ Helen H. Hong
PHILIP L.B. HALPERN
MARK W. PLETCHER
HELEN H. HONG
BILLY JOE MCLAIN
Assistant U.S. Attorneys